

NASA GRANTEE

NEW TECHNOLOGY REPORT

NASA requires each research grantee, research contractor, and research subcontractor to report new technology to the NASA Technology Utilization Office. The required reports and corresponding schedules are as follows:

<u>Title of Report</u>	<u>Form Number</u>	<u>Timetable</u>
Individual Disclosure	NASA 666A	The grantee discloses each discovery of new technology individually, at the time of its discovery.
Interim Report	NASA C-3043	For multi-year grants, the grantee summarizes the previous year's disclosures on an annual basis. The first Interim New Technology (NT) Report is due exactly 12 months from the effective date of the grant.
Final Report	NASA C-3043	The grantee submits a cumulative summary of all disclosed discoveries. This Final NT Report is submitted immediately following the grant's technical period of performance.

Grantee Name
and Address

Report Submitted by:

Telephone Number: () _____ - _____

NASA Grant Title:

NASA Grant Number:

_____3-_____

NASA Project Manager:

Grant Completion Date:

____/____/____

Today's Date:

____/____/____

New technology may be either reportable items or subject inventions.

A reportable item is any invention or discovery, whether or not patentable, that was conceived or first actually reduced to practice during the performance of the grant, contract or subcontract. Large business contractors and subcontractors must disclose reportable items as they are discovered and submit a noncumulative list of these new technology items on an annual basis [ref: Interim NT Report] and a cumulative list at the completion of the grant, contract (or subcontract) period [ref: Final NT report].

A subject invention is any invention or discovery, which is or may be patentable, that was conceived or first actually reduced to practice during the performance of the grant, contract or subcontract. Large business contractors and subcontractors must disclose reportable items as they are discovered and submit a noncumulative list of these new technology items on an annual basis [ref: Interim NT Report] and at the completion of the grant, contract (or subcontract) period [ref: Final NT Report].

Grantees, small business contractors and small business subcontractors are only required to disclose and report patentable items (subject inventions). However, we encourage that grantees, small business contractors and small business subcontractors disclose both patentable and nonpatentable (reportable) items, both of which are automatically evaluated for publication as NASA tech briefs and considered for NASA *Tech Brief* awards.

PLEASE COMPLETE THE REVERSE SIDE OF THIS FORM AND MAIL TO THE FOLLOWING ADDRESS:

NASA Lewis Research Center
Attn: Kathy Kerrigan
Technology Utilization Office; Mail Stop 7-3
Cleveland, OH 44135

I. General Information

1. Type of Report: ☐ Interim ☐ Final
2. Size of Business: ☐ Small ☐ Large ☐ Nonprofit Organization
3. Have any nonpatentable items resulted from work performed under this subcontract during this reporting period?
 ☐ yes ☐ no
4. Have any subject inventions resulted from work performed under this subcontract during this reporting period?
 ☐ yes ☐ no
5. Are new technology items (nonpatentable or patentable) being disclosed with this report?
 ☐ yes ☐ no

II. New Technology Items

Please provide the title(s) of all new and previously disclosed new technology items conceived or first actually reduced to practice under this grant.

<u>Title</u>	<u>Internal Docket Number</u>	<u>Patent Appl. Filed</u>	<u>Patentable Item</u>	<u>Nonpatentable Item</u>
1. _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. _____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

III. Subcontractors

Please complete the following section listing all research subcontractors participating to date. Include each subcontractor's name, address, contact person, and telephone number.

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

IV. Certification

I certify that active and effective procedures ensuring prompt identification and timely disclosures of reportable new technology items have been followed. Furthermore, I certify that all new technology items required to be disclosed and conceived during the period identified on this form, have been disclosed to NASA.

Name and Title of Authorized Official

Signature and Date

Grant Monitor